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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/919,102	07/31/2001	Howard Fein	HOFE / 02	2446
26875 7590 09/30/2008 WOOD, HERRON & EVANS, LLP 2700 CAREW TOWER 441 VINE STREET CINCINNATI, OH 45202				
EXAMINER FERNANDEZ, SUSAN EMILY				
ART UNIT		PAPER NUMBER		
1651				
MAIL DATE		DELIVERY MODE		
09/30/2008		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

09/919,102

**Applicant(s)**

FEIN, HOWARD

**Examiner**

SUSAN E. FERNANDEZ

**Art Unit**

1651

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 09 July 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1, 2, 24, 31, 38, 64 and 65 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 2, 24, 31, 38, 64 and 65 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/C)
- Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on July 9, 2008, has been entered.

Claims 1, 2, 24, 31, 38, 64, and 65 are pending and examined on the merits.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1, 2, 24, 31, 38, 64, and 65 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Specifically, claims 1, 24, and 64 now recite "...with the proviso that said indirect application is not occlusive..." which is considered new matter. Page 20, lines 16-17 of the disclosure indicate that "The composition may be applied directly or indirectly, such as by a dressing, bandage, covering, etc." Dressings and bandages can be considered occlusive, thus the

indirect application can indeed be occlusive. Furthermore, there is no specific recitation in the specification that the indirect application is not occlusive.

Also, claim 64 comprises new matter. The specification does not provide support for the recitation that the formulation is administered "six applications for about three minutes each." Because the specification as filed fails to provide clear support for the new claim language, a new matter rejection is clearly proper.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 2, 24, 31, 38, 64, and 65 are rejected under 35 U.S.C. 103(a) as being unpatentable over the disclosure of SU 1685448 in view of Zaias (U.S. 5,411,741), Rawlings et al. (U.S. 5,665,366), and Burbach (Dermatologica 118: 379-391 (1959)).

SU 1685448 discloses treating seborrheic keratopapillomata (skin condition seborrheic keratosis) with a composition comprising trypsin as well as theophylline and dimexidum (dimethylsulfoxide – DMSO) (last paragraph, page 1 of English Translation of SU '448). Topical application of the disclosed composition of trypsin, theophylline, and DMSO to seborrheic keratopapilloma resulted in "a regression of swelling," which, following weeks of treatment, eventually led to the disappearance of the swelling (last paragraph, page 3 through

first paragraph, page 4 of English Translation of SU '448). Thus, the reference clearly taught the treatment of seborrheic keratosis by topical application of a composition **containing** the hydrolase trypsin, at a concentration selective for regulating depth of skin treatment, as well as regulating removal of the swelled layer. As there is removal of the swelled layer, there is removal of seborrheic keratosis.

SU '448 does not expressly disclose the concentration of trypsin recited in instant claims 1, 24, and 64. However, the selection of a specific suitable concentration, including that claimed, clearly would have been an obvious matter of optimization on the part of the artisan of ordinary skill in the art, as it depends on the amount of base used in the SU '448 composition (see last paragraph, page 3 of English Translation). Furthermore, though SU '448 does not disclose the administration regimen recited in the last four lines of instant claim 64, in the therapeutic arts, it is obvious to optimize the effective dosage of a drug wherein one is increasing the dosage and/or duration in order to elicit an improved result.

SU '448 also differs from the claimed invention in that SU '448 does not recite the application of a composition *consisting essentially* of trypsin.

Zaias, which discusses conventional carriers for skin treating compositions delivered to the epidermis (specifically depigmentation agents), teaches against the inclusion of DMSO as a carrier for compositions for treating the skin. At col. 3, lines 5-10, the Zaias patent states that DMSO causes extreme skin irritation, redness, itching and scaling. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to practice the method of treating seborrheic keratosis disclosed by SU1685448 by administering a composition containing trypsin but eliminating the DMSO. One of ordinary skill in the art would have been

motivated to remove the DMSO since it is known to cause skin irritation. One of ordinary skill in the art would also still have a reasonable expectation of success by using only the trypsin as the active ingredient because of the disclosure of the other secondary references. Clearly, the artisan of ordinary skill in the art would have recognized that DMSO serves as a carrier of the SU `448 composition.

Additionally, Rawlings et al. teaches that the stratum corneum trypsin-like enzyme (SCTE) may be administered alone or in combination with suitable additional proteases such as trypsin to the skin in a vehicle. At col. 12, a composition of SCTE in ethanol, perfume, BHT and water is disclosed. No lanolin, theophylline or sunflower oil is necessary, yet this composition is disclosed as appropriate for the topical treatment of the skin for the disclosed purposes.

Rawlings et al. discloses multiple formulations in multiple forms, all suitable for the administration of the SCTE and, if desired, trypsin to the skin for the treatment of conditions of the skin where the condition is characterized by hyperkeratinisation. At col. 9, lines 49-51, the amount of the composition and frequency of its application depends on the condition of the patient. It is noted that Rawlings et al. teaches dimethyl sulphoxide (DMSO) as an optional alternative solvent and not a required component of the enzyme composition. See col. 3, lines 23-28.

Also, the disclosure of Burbach indicates the effect on human skin of proteases, and specifically trypsin, in varying amounts. The conclusion was that trypsin solutions, dependent upon concentration and period of application, were capable of breaking up the connection between the epidermis and the corium (dermis). The reference indicates at page 383 that

crystalline trypsin would effect complete detachment of the epidermis after 1-2 hours after injection and disintegration of the epidermis after 3-4 hours after injection

Therefore, the selectivity of trypsin for the epidermal layer as a substrate was well known and the result of topical or injected application of trypsin to the epidermis was known and expected. Therefore the use of a composition consisting essentially of trypsin would have been obvious to one of ordinary skill in the art at the time the invention was made in order to effect a regulated removal of specific areas of the epidermis afflicted by seborrheic keratosis. Thus, a holding of obviousness is clearly required.

### ***Response to Arguments***

Applicant's arguments filed July 9, 2008, have been fully considered but they are not persuasive. With respect to the new matter rejection over the recitation "six applications for about three minutes each," Example 1 is noted. Though the example teaches intervals of three minutes for six applications, there is no teaching that the time period of each application is "about three minutes" each. Thus, claims 64 and 65 must remain rejected under 35 U.S.C. 112, first paragraph.

With respect to SU `448, though the composition comprising trypsin also comprises theophylline, it is not clear that theophylline materially affects the basic and novel characteristics of the composition, nor has the applicant provided evidence to demonstrate this. In example 2 of SU `448, while regression of swelling was found with an increased amount of theophylline, it is also noted that the amount of trypsin has also been increased (from 0.04 gram to 0.05 gram). Thus, it is not clear that the swelling regressed only because the amount of theophylline was

substantially increased. Further still, as swelling is regressed, there is indeed removal of the condition, seborrheic keratosis. There is no recitation in the claims that the lesion itself is removed.

With respect to the teaching of occlusion for therapy in SU '448, it is respectfully noted that the claims recite "comprising" steps, and thus do not exclude other steps, including the presence of an occlusive dressing. Furthermore, while the claims prohibit an occlusive indirect application, there is no limitations regarding whether or not the direct application can be occlusive. Thus, SU '448 may still be applied against the instant claims.

With respect to Zaia, it is noted that Zaia is proved to show that DMSO causes extreme skin irritation, redness, itching and scaling which are not desirable effects, regardless of whether it is considered a carrier. Though Rawlings teaches administration of a trypsin-like enzyme for treatment of another skin treatment, it is provided to demonstrate that DMSO is not necessary for the application of trypsin to skin.

Finally, in regards to Burbach, though Burbach teaches trypsin concentrations other than those recited in the claims, it is respectfully noted that the selection of specific suitable trypsin concentrations would have been an obvious matter of optimization on the part of the artisan. Moreover, the formation of lesions does not teach away from the claimed invention since Burbach uses different concentrations from the claimed invention. Thus, the 35 USC 103 rejections of record must be maintained.

No claims are allowed.



Any inquiry concerning this communication or earlier communications from the examiner should be directed to SUSAN E. FERNANDEZ whose telephone number is (571)272-3444. The examiner can normally be reached on Mon-Fri 8:30 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mike Wityshyn can be reached on (571) 272-0926. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Leon B Lankford/  
Primary Examiner, Art Unit 1651

Susan E. Fernandez  
Examiner  
Art Unit 1651

sef